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By Stephen E. Baldwin Date: 5/5, 1998
Stephen E. Baldwin, Reg. No. 27,769

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Lu, et al)	Group Art Unit: 2112
)	
Serial No.: 08/977,407)	Examiner: unknown
)	
Filed: 11/24/97)	
)	
For: ELECTRONIC SURFACE MOUNT)	
PACKAGE)	

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Referring to the above-identified application, applicant submits herewith the following:

X Our check in the total amount of \$460.00 is enclosed for the filing fee and surcharge for a small entity;

X Copy of the Notice to File Missing Parts.

Please return the enclosed self-addressed postcard.

Please charge any additional fees, including extension of time fees, or credit any overpayment to Deposit Acct. No. 20-1497 (Order No. Halo-012). A duplicate copy of this paper is enclosed.

Respectfully submitted,

TRIAL & TECHNOLOGY LAW GROUP

By Stephen E. Baldwin
Stephen E. Baldwin, Reg. No. 27,769

545 Middlefield Road, Suite 220
Menlo Park, CA 94025
(650) 324-2258

5/12/1998 MP/PLES 00000035 08977407

FC:201
FC:205

395.00 DP
65.00 DP



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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407 11/24/97 LU

P

0292/0306
TRIAL & TECHNOLOGY LAW GROUP
545 MIDDLEFIELD ROAD SUITE 220
MENLO PARK CA 94025

NOT ASSIGNED

DATE MAILED: 2112

03/06/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 65.00 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ large entity ☒ small entity (verified statement filed), is \$ 460.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 395.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202